

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of  
Takuya SEKO, et al.  
Appln. No. Not Yet Assigned  
Filed: January 10, 2001

Group Art Unit: Not Yet Assigned  
Examiner: Not Yet Assigned

For: AMINO ACID DERIVATIVES AND PHARMACEUTICAL COMPOSITION  
COMPRISING, AS ACTIVE INGREDIENTS, THEM

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:

A copy of the corresponding International Search Report (ISR) is enclosed. Four foreign language documents were cited therein; however, none of the actual foreign language documents is presently available to the undersigned but they will be submitted when received. In any event, Applicants have provided herewith English language counterparts (see Form PTO-1449) for three of those four ISR references, as follows:

An English language abstract of JP 8-217671 is submitted herewith and constitutes a concise statement of relevance.

WO 00/00470 is disclosed on page 4 of the specification in the above-identified application.

INFORMATION DISCLOSURE STATEMENT  
Attorney Docket No. Q62550

ISR Reference

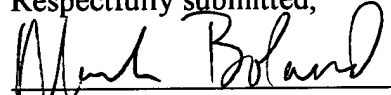
English counterpart

- |                 |                 |
|-----------------|-----------------|
| 1. JP 7-501085  | USP 5,596,000   |
| 2. JP 61-200950 | EP 0 194 464 A1 |
| 3. JP 10-95758  | EP 0 805 147 A1 |

ISR reference 2, WO 99/02146, is in Japanese but Applicants have advised that it corresponds to EP 0 997 147 A1. The undersigned is attempting to obtain a complete copy of EP 0 997 147 A1 for submission.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



Mark Boland

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